

changed uniformly by +5 K, -10 K or -20 K. Additional experiments, not presented here, demonstrated insensitivity of the simulated heat fluxes to changes in surface fluxes of heat, moisture and momentum, or in scale-selective dissipation.

Except as noted below, all quantities used to create Figs 1–3 are zonal means, averaged over the mid-latitude region defined as 1,000–200 mbar, 28°–68° latitude, for each hemisphere. The results were found to be insensitive to variations in the definition of the mid-latitude region. In particular, this height is sufficient to include essentially the whole troposphere and all the eddy activity. It should be noted that the average meridional temperature gradient is closely constrained by the fixed surface temperatures, and could be replaced by the surface temperature gradient in the calculations with only a modest loss in accuracy. The diabatic forcing term  $q$  includes both the radiative heating averaged over the mid-latitude region and the surface fluxes of sensible heat, although the contribution of surface fluxes turns out to be small. The height scales  $H$  and  $H_s$ , used to calculate the radius of deformation  $L_D$ , and the Charney length scale  $L_C$ , were taken to be constant at 8 km as they did not vary substantially in any of the experiments. In calculating  $L_C$ , the vertical averages were weighted<sup>13</sup> by a factor  $e^{-z/D}$ , where  $D = H_s/(0.48 + 1.48\gamma)$ . The latitude of the zonal wind maximum, which did not vary substantially between experiments, was used to calculate  $f$  and  $\beta$ . The size of the baroclinic zone,  $L_{zone}$ , was defined as the distance between the two latitudes where the strength of the vertically averaged zonal-mean zonal wind first drops to half of its maximum value. In all calculations the correlation coefficient  $k$  was given a constant value of 0.25, while the utilization coefficient  $e$  was given a constant value of 0.75.

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**Mid-mantle deformation inferred from seismic anisotropy**

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**With time, convective processes in the Earth's mantle will tend to align crystals, grains and inclusions. This mantle fabric is detectable seismologically, as it produces an anisotropy in material properties—in particular, a directional dependence in seismic-wave velocity. This alignment is enhanced at the boundaries of the mantle where there are rapid changes in the direction and magnitude of mantle flow<sup>1</sup>, and therefore most observations of anisotropy are confined to the uppermost mantle or lithosphere<sup>2,3</sup> and the lowermost-mantle analogue of the lithosphere, the D' region<sup>4</sup>. Here we present evidence from shear-wave splitting measurements for mid-mantle anisotropy in the vicinity of the 660-km discontinuity, the boundary between the upper and lower mantle. Deep-focus earthquakes in the Tonga–Kermadec and New Hebrides subduction zones recorded at Australian seismograph stations record some of the largest values of shear-wave splitting hitherto reported. The results suggest that, at least locally, there may exist a mid-mantle boundary layer, which could indicate the impediment of flow between the upper and lower mantle in this region.**

Seismic anisotropy in the upper 200 km of the Earth's mantle is primarily attributed to the preferred alignment of olivine crystals which have deformed by dislocation creep<sup>5</sup>. The origin of anisotropy at greater depths is more speculative, but there is evidence for anisotropy in the transition zone in some regions<sup>6–8</sup>, but not in others<sup>9,10</sup>. In an effort to reconcile discrepancies in global velocity models derived from body-wave travel times and normal-mode observations, Montagner and Kennett<sup>11</sup> allowed both anisotropy and attenuation in a joint inversion of these data sets. Their final model shows significant levels of anisotropy in the uppermost and lowermost mantle, but also in the vicinity of the 660-km discontinuity (hereafter referred to as the '660'). This motivated an investigation of mid-mantle anisotropy on a regional scale. Here we investigate shear-wave splitting in deep-focus events that image a region below the Australian plate (Fig. 1).

Stations in Australia are ideal for investigating near-source anisotropy, as studies have shown that they exhibit very little, if any, receiver-side shear-wave splitting<sup>12–14</sup> (see Supplementary Information for a summary of observations). For example, 52 SKS measurements with good azimuthal coverage at the station CAN (see Fig. 1 for location) show that shear waves that are travelling nearly vertically are not split while crossing the Australian lithosphere beneath this station<sup>12</sup>. In contrast, we find that deep-focus events from the Tonga–Kermadec and New Hebrides subduction zones show very large degrees of shear-wave splitting at this and four other Australian stations (Fig. 2), suggesting anisotropy deeper in the mantle, away from the receiver.

We made splitting measurements from 92 events, at epicentral distances of 24° to 59° from the Australian stations, using the method of ref. 15, which estimates the time separation between the fast and slow shear wave,  $\delta t$ , and the polarization of the fast shear wave at the receiver,  $\phi$ . This method attempts to remove the anisotropy-induced splitting by minimizing the shear-wave signal in the direction perpendicular to the polarization direction of the shear wave before entering the anisotropic region<sup>16</sup>. A grid search over  $\delta t$  and  $\phi$  is used to estimate the splitting parameters, and a statistical  $F$ -test is used to assess errors. The correction for splitting

should produce a linear S-wave particle motion, thus providing a further measure of confidence in the results. Of 164 splitting measurements, 66 gave very convincing results—that is, the error in  $\delta t$  is less than 0.5 s, and the error in  $\phi$  is less than  $10^\circ$ . In an effort to isolate mid-mantle anisotropy, we further restricted our study to the 30 events deeper than 300 km which gave 35 high-quality splitting estimates (see Supplementary Information; 75% of the events are greater than 500 km deep). The magnitude of splitting for these events ranges from 0.6 s to 7.1 s. Many measurements show splitting in excess of 4 s (Fig. 2), and suggest either very high degrees of localized anisotropy or wave propagation through a more moderately anisotropic region of large extent. It should be noted that the maximum free-surface incidence angle for our data set is less than  $32^\circ$ , thus avoiding the effects of waveform distortion due to free- and near-surface coupling.

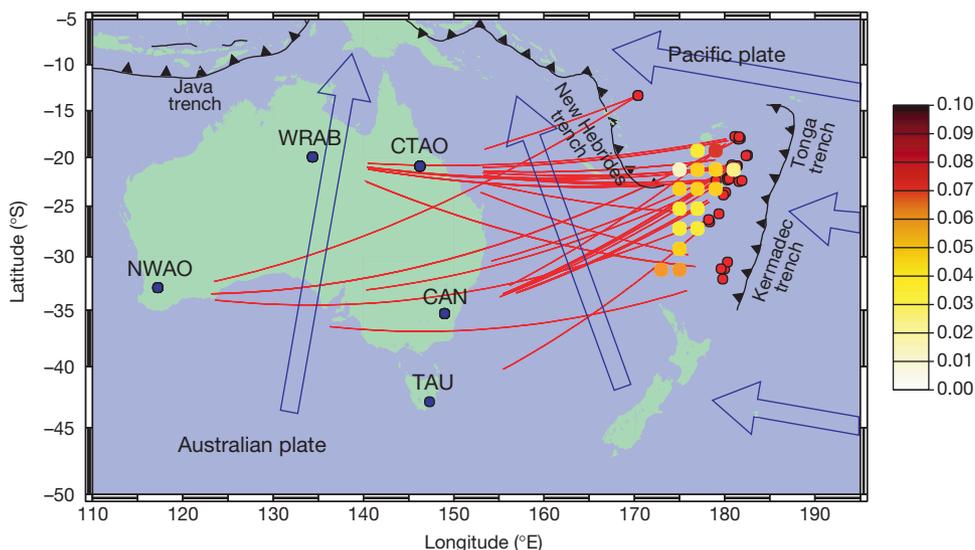
The azimuthal ray coverage at the midpoint between source and receiver spans a  $60^\circ$  region centred around  $260^\circ$ . The polarization of the fast shear wave is roughly aligned with the transverse component, but there is some scatter in this (back-azimuth  $-\phi = 108^\circ \pm 31^\circ$ ). Although the azimuthal ray coverage is not complete, the results suggest a transversely isotropic symmetry with the symmetry axis in the vertical plane, perpendicular to the ray direction. For horizontally travelling rays, this would imply a horizontally polarized fast shear wave (that is, SH leads SV).

To help guide interpretations of these observations, we model wave propagation through an anisotropic slab region using ray theory<sup>17</sup>. The linear slab extends to a depth of 660 km and has a  $60^\circ$  dip angle. Anisotropy in the deeper parts of the slab may be due to the alignment of metastable olivine<sup>18</sup>, or the preferred alignment of akimotoite, a polymorph of enstatite, which may exist under slab pressures and temperatures<sup>19</sup>. Alternatively, transition-zone deformation above the slab may align its dominant minerals, wadsleyite and ringwoodite. Finally, anisotropy below the '660' may be due to the alignment of lower-mantle minerals such as perovskite, periclase and/or stishovite, all of which are highly anisotropic<sup>20</sup>. Perovskite is the most likely candidate as it constitutes nearly 80% of the minerals in this region, but an alignment mechanism for perovskite is still uncertain<sup>21,22</sup>. Both experimental measurements<sup>23</sup> and first-principles calculations<sup>24,25</sup> suggest that perovskite is only mildly orthor-

hombic in symmetry and can be well approximated as being transversely isotropic. Unfortunately, these elastic constants predict that for horizontally travelling waves, vertically polarized shear waves are faster than horizontally polarized shear waves. Alternatively, the anisotropy may not be due to crystal alignment, but rather to the horizontal alignment of tubular inclusions, as has been suggested for the lowermost mantle<sup>4</sup>.

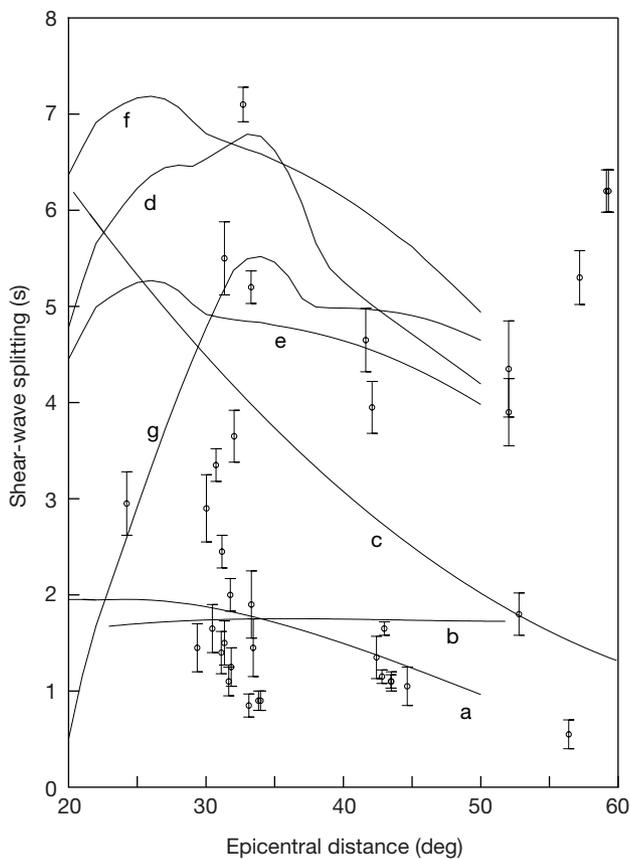
Figure 2 compares predicted splitting for a variety of models and the observed splitting values. Consistent with the observations, the anisotropy is constrained to have a fast horizontally polarized shear wave. Very high degrees of anisotropy, distributed throughout the slab, are required to explain the observations with slab anisotropy. It is virtually impossible to explain 6 seconds of splitting in the deepest events ( $>600$  km) with slab anisotropy. An absence of slab anisotropy is further suggested from an analysis of depth-dependent splitting for vertically travelling shear waves beneath Tonga, which shows no evidence of azimuthal anisotropy below 400 km (ref. 10). A lack of SKS splitting does not necessarily mean that the uppermost mantle beneath the receivers is isotropic. Transverse isotropy anywhere in the mantle will not split vertically polarized SKS phases, but will split an arbitrarily polarized S wave. However, Fig. 2 shows that the splitting for an uppermost mantle with 4% anisotropy<sup>26</sup> cannot explain the results. Similarly, they cannot be explained with anisotropy confined to the transition zone, a conclusion reinforced by the fact that ringwoodite, the dominant mineral between depths of 520 and 660 km, is thought to be only very mildly anisotropic<sup>20</sup>. It is difficult to explain the splitting with combinations of transverse isotropy in the uppermost mantle and in transition-zone regions. A problem with models where anisotropy is confined to the upper mantle is that they predict very large amounts of splitting at near offsets and little at large offsets, an effect not seen in the data. Such anisotropy may contribute to the splitting, but cannot explain the observations.

The modelling shows that moderate amounts of anisotropy in the lower mantle generate large amounts of splitting owing to long horizontal ray-paths below the '660' at these epicentral distances. Assuming that the anisotropy is confined to a layer 100 km below the '660', the average anisotropy magnitude is 3.0%. However, Fig. 1 shows that there is spatial variation in this estimate, with the largest



**Figure 1** Event–station combinations used to study mid-mantle anisotropy. Small red dots show events; blue dots show stations; red swaths show corresponding lower-mantle ray-paths. Subduction zones and directions of absolute plate motion are also indicated. The larger circles near the events are colour-coded averages (minimum 3 hits) over a  $2^\circ$  radius surface region of the anisotropy magnitude required to explain observed shear-

wave splitting, plotted at the point a ray enters the lower mantle. This is calculated assuming that the anisotropy is distributed throughout a 100-km-thick layer just below the 660-km discontinuity (the '660'). Colour scale bar to the right indicates the magnitude of the anisotropy (fractional difference between slow and fast shear wave velocity).



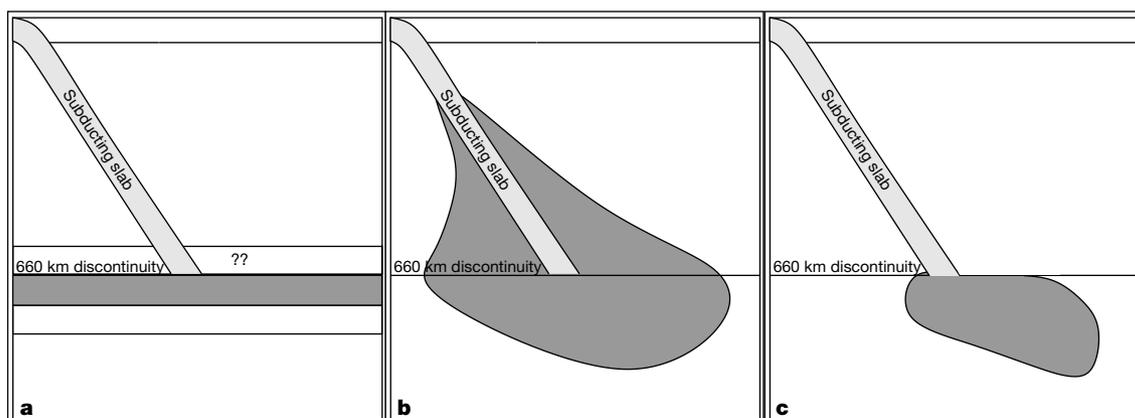
**Figure 2** Shear-wave splitting versus epicentral distance. Circles with error bars show observations and estimated uncertainty. Solid lines show predictions for a 500-km-deep source in models with a fast horizontally polarized shear wave: trace a, 4% transverse-isotropy in the uppermost 210 km of upper mantle; b, 5% anisotropy in a subducted slab that extends to a depth of 660 km; c, 5% anisotropy confined to a 100-km-thick layer immediately beneath the '660'; d, anisotropy that grades from 3% to 1% between the '660' and 900 km; e, 2% anisotropy in 100-km-thick layers above and below the '660', and 1% anisotropy in a layer between 760 km and 900 km; f, 4% anisotropy in the uppermost 210 km, 2% anisotropy in 100-km-thick layers above and below the '660', and 1% anisotropy in a layer between 760 km and 900 km; g, anisotropy that grades from 2.5% to 1.5% in a layer between 760 km and 900 km. Although there is some ambiguity as to the best model, only models with anisotropy in the lower mantle can explain the large splitting observations.

magnitude of anisotropy lying in the northernmost and southernmost regions. This north–south variation is also seen in the raw splitting values, with a large range of splitting near 20°S (see Supplementary Information). Alternatively, the magnitude of the anisotropy may grade into the isotropic mantle over a few hundred kilometres, thereby requiring even less anisotropy. Figure 2 also shows that it is difficult accurately to constrain the anisotropy to a layer immediately beneath the '660'. In fact, anisotropy between depths of 760 km and 900 km explains the trend in the large residuals quite well. In summary, the modelling shows that there must be anisotropy below the '660', but not deeper than 900 km, and there may or may not be a contribution from anisotropy above the '660'.

Although there must be anisotropy in the lower mantle, it may still be slab related. Numerical simulations have shown that large deviatoric stresses are transmitted into the lower mantle when a rigid slab encounters an increase in viscosity at the '660' (ref. 27). Large stresses increase the likelihood of dislocation creep mechanisms being active. With time, the associated strains will induce alignment in a broad region below the slab. Perovskite may therefore align with a rotated symmetry axis conformal to the shape of this region. Aligned perovskite rotated more than 30° predicts SH waves faster than SV wave for horizontally travelling S waves.

Another possibility is that the anisotropy is associated with slab material which has broadened and pooled at the '660', before sinking into the deeper mantle. This may be slab material horizontally emplaced on the '660', but our modelling shows that a significant portion of the slab must be well below a depth of 660 km. An alternative is the idea that eclogitic oceanic crust delaminates from the slab, residing in a 'megalith' just below the '660' (ref. 28). This thin crustal layer may thicken appreciably with long-lived subduction into the high-viscosity lower mantle<sup>29</sup>. Furthermore, it has been argued that basalt may be near its solidus in the uppermost and lowermost parts of the lower mantle<sup>30</sup>. Thus the anisotropy may be due to the preferred alignment of melt inclusions (a mechanism which generates anisotropy very effectively<sup>4</sup>), which results from shear deformation at the '660'.

Supporting evidence for the anisotropy being confined to a broad region around the base of the slab comes from recent tomographic images for both P and S waves for the Tonga–Kermadec region<sup>31</sup>. This raises the question of to what degree tomographic images obtained assuming isotropy are influenced by anisotropy. Tomographic images also show along-strike variations in the Tonga–



**Figure 3** Three models for anisotropy below the 660-km discontinuity. **a**, An anisotropic mid-mantle boundary layer near the '660' that may or may not be a global feature of this boundary. Our results suggest that the magnitude of anisotropy in such a layer must vary

laterally quite significantly. **b**, Slab forces on the surrounding mantle lead to strain-induced anisotropy. **c**, Anisotropy associated with slab material pooling in the lower mantle.

Kermadec slab morphology, with a significant change in slab dip near 25° (ref. 32). We note that it is from this region that we observe the smallest amounts of splitting.

Although the precise origin of the anisotropy is not clear at present, our observations and linked modelling show evidence for anisotropy in the uppermost lower mantle beneath the eastern part of the Australian plate. The anisotropy is probably inhomogeneous, as there appears to be an appreciable north–south variability in its magnitude. There must be large strains in this region, which are probably related to slab interaction with the sharp increase in viscosity at this boundary. Figure 3 summarizes the potential mechanisms that we propose. Our results may help describe to what extent there is an impediment of flow at this boundary between the upper and lower mantle. □

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## A basal troodontid from the Early Cretaceous of China

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Troodontid dinosaurs form one of the most avian-like dinosaur groups<sup>1–5</sup>. Their phylogenetic position is hotly debated, and they have been allied with almost all principal coelurosaurian lineages<sup>6–13</sup>. Here we report a basal troodontid dinosaur, *Sinovenator changii* gen. et sp. nov., from the lower Yixian Formation of China. This taxon has several features that are not found in more derived troodontids, but that occur in dromaeosaurids and avialans. The discovery of *Sinovenator* and the examination of character distributions along the maniraptoran lineage indicate that principal structural modifications toward avians were acquired in the early stages of maniraptoran evolution.

Theropoda Marsh, 1881

Maniraptora Gauthier, 1986

Troodontidae Gilmore, 1924

*Sinovenator changii* gen. et sp. nov.

**Holotype.** IVPP (Institute of Vertebrate Paleontology and Paleoanthropology, Beijing) V 12615, a disarticulated partial skull and skeleton.

**Referred specimen.** IVPP V12583, an incomplete, articulated postcranial skeleton.

**Etymology.** ‘*Sinae*’, Latin referring to China, plus ‘*Venator*’, Latin for hunter. The specific name honours Meeman Chang of the IVPP for her significant role in the study of the Jehol fauna.

**Locality and horizon.** Lujiatun and Yanzigou, Shanyuan, western Liaoning, China; lowest part of Yixian Formation, older than 128 Myr (ref. 14; Hauterivian?); associated vertebrate fossils include the ceratopsian *Psittacosaurus*, the primitive ornithischian *Jeholosaurus*<sup>15</sup>, and the primitive mammal *Repenomamus*<sup>16</sup>.

**Diagnosis.** A troodontid with the following derived characters: straight and vertical anterior margin of antorbital fenestra; frontal with a vertical lamina bordering the lacrimal; surangular T-shaped