Tolerable magnitudes for induced seismicity at offshore carbon capture and storage projects

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Declaration of Competing Interests

- 20 JPV leads the Bristol and Oxford Passive Seismic (BOPS) project. BOPS is funded by a range of
- 21 operating and oilfield service companies, many of whom are currently in the process of developing
- 22 CCS projects around the UK and elsewhere. JPV and BE have acted and continue to act as
- 23 independent consultants for a variety of organisations including hydrocarbon operating companies
- and governmental organisations on issues pertaining to induced seismicity. None of these
- 25 organisations had any input into the conception, development, or analyses presented in this study.

ABSTRACT

27 Induced seismicity is a risk that must be managed during the development of Carbon Capture and Storage (CCS)

28 projects. A key step in effective management of induced seismicity is the definition of a tolerable magnitude

29 threshold, M_{TOL}, which defines the level at which the nuisance or damage caused by induced seismicity is likely 30 to no longer be tolerated by affected populations. Having established M_{TOL} , induced seismicity mitigation

31 strategies can be implemented with the objective to avoid induced events that exceed M_{TOL} . In this study our

32 objective is to estimate M_{TOL} for CCS developments in the waters around the UK. Siting CCS operations offshore

33 reduces, but does not eliminate, the risks posed by induced seismicity by increasing the distance from exposed

34 populations. For a given induced earthquake location and magnitude, we use ground motion models, nuisance

35 and fragility functions, and population densities, to estimate the numbers of households that would experience

36 different levels of disturbance and damage. We use past cases of induced seismicity that were, or were not,

37 accepted by the public to define risk tolerances based on the numbers of households that experience different 38

levels of disturbance or damage. We sense-check our results through comparison with observed macroseismic 39

impacts from past, natural earthquakes located in the seas around the UK. As expected, we find that the strongest 40 control on M_{TOL} is the distance to the shore from the proposed project. Our results can be used by CCS operators

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41 and regulators in designing induced seismicity mitigation strategies for their sites.

42

43 **1. INTRODUCTION**

Carbon capture and storage (CCS) entails the capture of CO₂ at large power generation and industrial
 facilities and its subsequent storage in suitable geological repositories. CCS is seen as a key technology

for meeting net zero targets for many industrialised nations (e.g., BEIS, 2021; Jones and Lawson, 2022). However, the injection of large volumes of CO₂ into the subsurface carries the risk of causing

47 2022). However, the injection of large volumes of CO_2 into the subsurface carries the risk of causing 48 induced seismicity (Zoback and Gorelick, 2012; Verdon, 2014): this risk must be managed to ensure

49 the successful development of a CCS industry.

50 Other industries that create subsurface perturbations have experienced induced seismicity, including 51 conventional hydrocarbon production (e.g., Segall, 1989), geothermal energy (e.g., Zang et al., 2014), 52 hydraulic fracturing (e.g., Schultz et al., 2020a), wastewater disposal (e.g., Watkins et al., 2023), and 53 natural gas storage (e.g., Cesca et al., 2021). In a handful of cases, induced earthquakes have led to 54 sufficiently strong ground motions to cause damage to nearby buildings and infrastructure (e.g., Lee et al., 2019; Lei et al., 2019; Campbell et al., 2020). Where induced earthquakes are large enough to 55 be felt, they become a source of significant public concern even if magnitudes are not large enough to 56 cause damage (Evensen et al., 2022). The occurrence of induced seismicity has seen regulators respond 57 58 by imposing strict regulatory measures; sometimes closing culpable projects, such as gas production at Groningen in the Netherlands (van de Graaff et al., 2018), geothermal energy projects at Basel in 59 Switzerland and Pohang in South Korea (Häring et al., 2008; Lee et al., 2019), and natural gas storage 60 61 at the Castor site, Spain (Cesca et al., 2021); or imposing significant limitations on injection rates, such 62 as for wastewater disposal in Oklahoma (OCC, 2016). In the UK, a nationwide moratorium on shale gas extraction was imposed because of the perceived inability to manage injection-induced seismicity 63 64 (BEIS, 2019).

Induced seismicity has been observed at CCS sites (Verdon et al., 2011; 2013; Stork et al., 2015;

66 Dando et al., 2021; Goertz-Allmann et al., 2024), although to date the magnitudes have been small.

67 Nevertheless, these instances demonstrate the importance of managing induced seismicity during CCS

68 operations as the industry grows. Shutdown of CCS projects due to the occurrence of larger magnitude

69 induced events, as has happened in other industries, would have a significant impact on the growth of

70 this nascent technology.

71 In the UK, the focus of CCS-related activities has been offshore in the North Sea, Irish Sea, and English

Channel. The UK government (via the relevant regulator, the North Sea Transition Authority, NSTA)
has so far issued 28 licenses for "carbon dioxide appraisal and storage" (we refer to these as "CCS

Licenses" hereafter) in these areas (Figure 1). Operational injection of CO_2 at some of these licensed

75 sites is expected to begin by the late 2020s.

76 The siting of CCS operations offshore can significantly reduce the risks posed by induced seismicity 77 by reducing the exposed population. However, earthquakes induced offshore can still be felt onshore. 78 Induced seismicity at the Castor natural gas storage project provides an important case study in this 79 regard (Cesca et al., 2021): even though the injection site was over 20 km offshore the induced events, 80 which exceeded magnitude M 4.0, were felt by the local population onshore from the site, leading to 81 local opposition and the shutdown of the project. Past tectonic earthquakes in the seas around the UK 82 have been widely felt - the largest tectonic earthquake to have occurred in the UK, the 1931 M 6.1 83 Dogger Bank event, was in the southern North Sea near to the location of several CCS license blocks 84 (Musson, 2007). The 5.7–5.8 M_L 1580 Dover Straits earthquake, which occurred 15 – 20 km offshore 85 (although its exact location highly uncertain), is considered one of the most damaging earthquakes to 86 have hit the UK, with two deaths in England and several others reported in France and Belgium.



Figure 1: Map of CCS licenses (blue) released by the North Sea Transition Authority in the waters
around the UK. The black boxes show our five study regions, and the coloured stars show specific
study locations at which risk curves are computed. For ease of visualisation, we split our map into
northern and southern portions.

93

94 1.1 Management of Induced Seismicity Hazard

Induced seismicity can be managed prior to operations during site selection, and during operations by real time monitoring and decision-making (e.g., Verdon and Bommer, 2021; Schultz et al., 2024a).

97 The occurrence of induced seismicity requires the presence of critically stressed tectonic faults. The likelihood of induced seismicity will therefore be increased by an greater abundance of faulting (e.g., 98 99 Schultz et al., 2016; Wozniakowska and Eaton, 2020; Rodríguez-Pradilla and Verdon, 2024), by 100 elevated pore pressures (e.g., Eaton and Schultz, 2018), and by elevated stress conditions (e.g., Verdon 101 and Rodríguez-Pradilla, 2023). These criteria can be used to screen potential targets during the site 102 selection and characterisation phase. However, in practice a priori mitigation of induced seismicity in this way is often unsuccessful. In particular, the identification of potentially seismogenic faults during 103 site characterisation can be challenging. Faults of sufficient size to host M 4.0 earthquakes (i.e., 104 roughly km length scale) may only have a displacement of tens of metres, putting them at the limits of 105 106 resolution for typical reflection seismic surveys (Nantanoi et al., 2022; Rodríguez-Pradilla and Verdon, 107 2024). Strike-slip faults, which do not produce vertical offset, can be even harder to identify using 108 geophysical methods. Furthermore, the stress perturbations that generate induced seismicity can 109 propagate downwards to reactivate faults in basement strata that are often not investigated in detail 110 during site characterisation. As such, numerous cases exist where faults that hosted induced seismicity were not identified during site characterisation (e.g., Eaton et al., 2018; Cesca et al., 2021; Nantanoi 111 112 et al., 2022).

113 Instead, induced seismicity is typically managed by real-time decision-making during operations. 114 Monitoring systems are installed to detect and characterise induced seismic events and this information 115 is used to evaluate the upcoming seismic hazard and to adjust the planned injection program accordingly. In the simplest form, this approach takes the form of a Traffic Light System (TLS), where 116 pre-set magnitude thresholds are defined, typically an "amber light" at which injection rates are 117 118 reduced and a "red light" at which injection is stopped (Bommer et al., 2006). TLSs are inherently 119 retroactive (decisions are taken in response to observed events), so considerable thought must be given to setting the amber and red thresholds (M_{AMB} and M_{RED}) relative to the actual risk-related objective 120

- (e.g., Schultz et al., 2020b; Verdon and Bommer, 2021). TLSs are the most widely used regulatory
 approach to the management of induced seismicity (Kendall et al., 2019).
- 123 As induced seismicity forecasting models have improved, alternative methods, often referred to as
- 124 Adaptive Traffic Light Systems (ATLSs, Mignan et al., 2017), have been proposed. In this approach,
- the observed seismicity is used to populate a statistical model that forecasts the upcoming seismicity.
- 126 The two most common modelling strategies for this purpose are either (i) based on assumptions of
- linear scaling between injection rates and seismicity rates (e.g., Shapiro et al., 2010; Hallo et al., 2014;
- Langenbruch et al., 2018; Mancini et al., 2021; Verdon et al., 2024), or (ii) using extreme value theory
- 129 (e.g., Cao et al., 2021; Watkins et al., 2023; Verdon and Eisner, 2024; Schultz et al., 2024b).
- 130 In an ATLS, operations can be amended or stopped if the likelihood of an event of unacceptable 131 magnitude, as estimated by the forecasting model, exceeds a given threshold. This type of approach
- has now been applied to guide live decision-making at several active injection sites (e.g., Verdon, 2016; Clarke et al., 2019; Kwiatek et al., 2019; Kettlety et al., 2021).
- 134

135 **1.2 Tolerable Magnitudes**

What these methods share is the need to establish an acceptable level of risk. This is achieved by 136 defining a maximum tolerable magnitude. This point represents the level at which the impact of 137 induced seismicity, defined by either the nuisance of felt events experienced by the public, or the 138 139 damage to buildings and infrastructure, becomes intolerable. We refer to this tolerable magnitude as M_{TOL} hereafter. For TLSs, M_{RED} must be set an appropriate level below M_{TOL} to ensure that magnitude 140 141 jumps and/or trailing seismicity (continued induced earthquakes that occur after injection has stopped) do not exceed M_{TOL} (e.g., Schultz et al., 2020b; Verdon and Bommer, 2021; Zhou et al., 2024). For 142 143 ATLSs, M_{TOL} must be defined such that operations are stopped or amended if forecasting models

144 indicate that this threshold is likely to be breached.

We note that M_{TOL} is determined by the ground motions produced by a given event as experienced by local populations and infrastructure. The ground motions will depend on the earthquake hypocentre, the local ground characteristics, and the distance to the exposed population. However, these factors are typically relatively constant for a given injection site: induced earthquake hypocentres will be

- located within the region that is perturbed by the injection; the local ground characteristics will not
- 150 change over the typical durations of interest; and nor will the locations of exposed populations. As
- 151 such, M_{TOL} can typically be defined for a given injection site based on considerations of expected
- 152 ground motions for a given magnitude event at the injection site, as felt by nearby populations.
- M_{TOL} will also depend on willingness of a local population to tolerate induced seismicity, and on the vulnerability of exposed buildings. Public communication campaigns undertaken prior to the onset of operations can help the public to understand and expect induced seismicity, which can improve public willingness to tolerate it. In extreme cases, Bommer et al. (2015) proposed that structural upgrading of vulnerable buildings could be a more appropriate way of managing induced seismicity risks that
- 158 cannot otherwise be avoided.
- Schultz et al. (2023) developed a method to characterise tolerable magnitudes for induced seismicity specifically within the context of UK shale gas development. They estimated the tolerance of the public for given impacts (e.g., nuisance and damage) based on the impacts generated by observed events, and the public and regulatory response thereto. Given the current situation with respect to CCS davalanments around the LW (and given that the maraterium on shale gas davalanment in the LW
- developments around the UK (and given that the moratorium on shale gas development in the UK
- remains in place), there is a clear need to reprise this analysis for the CCS license blocks that have
- been awarded by the NSTA.

- 166 In estimating tolerable levels of induced seismicity for UK shale gas operations, Schultz et al. (2023)
- 167 went one step further. Since the regulator had applied TLS regulations to shale gas activities in the
- 168 UK, Schultz et al. (2023) sought to estimate appropriate TLS M_{RED} thresholds based on assumptions
- about magnitude jumps and trailing seismicity. In contrast, the relevant regulatory bodies have not
- specified how they intend to manage induced seismicity risks during CCS development in the UK. Our preference in this study is therefore to estimate M_{TOL} , the magnitude threshold at which we anticipate
- 171 preference in this study is increase to estimate M_{TOL} , the magnitude intestiold at which we anticipate that the impacts of induced seismicity from CCS would become intolerable to the public, thereby
- 173 leaving open the question of how best to ensure that these levels are not exceeded.
- We note that in estimating M_{TOL} based on the response of the public to nuisance and/or damage, we do
- not consider the potential impacts of induced seismicity on offshore infrastructure, which will consist
- of the pipelines, platforms and wells of the CO₂ injection facilities themselves, and may also include
- 177 offshore hydrocarbon pipelines, wells and platforms (some of which may have been decommissioned),
- 178 and offshore wind farms. Separate induced seismicity risk assessments may be required for such
- 179 structures. Also, we do not consider the potential geomechanical hazards posed by induced seismicity,
- such as deformation of wellbores due to fault slip, or the creation of permeable leakage pathways
- 181 through sealing caprocks (e.g., Verdon et al., 2013).

182 **2. METHOD**

183 Our approach is based on the methods developed by Schultz et al. (2021a; 2021b; 2023). We briefly 184 recap the approach here. Since we are only interested in defining M_{TOL} in this study, we do not 185 incorporate trailing seismicity effects. The Schultz et al. (2023) approach is based on a Monte Carlo 186 analysis of the impacts of induced seismicity occurring at a given location. Ground motions are 187 simulated for a given earthquake position and magnitude. Nuisance and fragility functions are then 188 used to estimate the likelihood of experiencing different levels of nuisance and damage states. These 189 likelihoods are then used to estimate the numbers of households that would experience a given impact, 190 based on population density maps.

Schultz et al. (2023) evaluated the tolerability of a given level of impact based on the impacts of previous induced seismic events in the UK. Based on estimated levels of nuisance and damage for past acceptable and non-acceptable induced events, Schultz et al. (2023) were able to infer acceptable tolerances for different levels of nuisance and damage in terms of the aggregate numbers of households

- 195 affected.
- 196

197 2.1. Ground Motions and Impacts

198 For a given earthquake hypocentre and magnitude, we compute peak ground velocities (PGV) using 199 the Edwards et al. (2021) ground motion model (GMM). This GMM was developed specifically for 200 induced seismicity at UK shale gas sites. In order to account for analastic attenuation due to the longer 201 distances involved in the CCS cases considered here, we implement the additional distance scaling 202 term (-0.0006R) recommended by Atkinson (2015). In implementation for our target sites, site 203 amplification effects were estimated using a global slope-based proxy for V_{S30} (Heath et al., 2020), 204 shown in Figure 2. In our Monte Carlo analysis (see below), V_{S30} values are perturbed by their standard 205 errors, and ground motions outputs are perturbed according to their inter-event standard deviation. 206 Ground motions are further perturbed by a spatially correlated intra-event error calibrated for European 207 data (Esposito and Iervolino, 2012; Edwards et al., 2021).

We translate modelled ground motions into probabilities of experiencing different impacts based on nuisance/fragility functions. We categorise the degree of nuisance by Community Decimal Intensity (CDI, Wald et al., 2012), where levels CDI-2 – CDI-6 correspond to "just felt", "exciting", "somewhat

- 211 frightening", "frightening", and "extremely frightening". We use the nuisance function developed by Schultz et al. (2021c) to estimate the likelihood of experiencing these CDI levels as a function of PGV. 212 213 At low PGV values (< 0.1 mm/s) the shape of the respective nuisance curves estimated by Schultz et 214 al. (2021c) creates the implausible outcome that the likelihood of experiencing higher CDI levels (CDI-215 3 - CDI-6) becomes higher than that of lower CDI levels (CDI-2). This issue had an insignificant 216 impact when applied by Schultz et al. (2023) to shale gas induced seismicity where large populations were found relatively close to the proposed sites. However, for offshore CCS sites this becomes an 217 issue because populations are all relatively far from the modelled hypocentres, and so all receive low 218 219 ground-motions for moderate magnitude events, creating the outcome that the risk curves for higher 220 CDI levels can be above those for lower CDI levels. We correct this by setting the likelihoods for 221 nuisance to zero below a PGV of 0.1 mm/s. Note that British Standards BS 5228 (BSI, 2014) gives the
- threshold for perception of vibrations at 0.14 0.3 mm/s.



Figure 2: Maps of UK population (a) and V_{S30} based on slope proxy (b). CCS licenses are shown in
 blue. For ease of visualisation, we split each map into northern and southern portions

We use the fragility function computed for induced seismicity at Groningen in the Netherlands (Korswagen et al., 2019) to compute the likelihood of damage for a given PGV value. The likelihood of damage is estimated at two states, DS-1, which corresponds to visible light damage (> 0.1 mm cracks) and DS-2, which represents easily observable light damage (> 1 mm cracks). We set the likelihoods for damage to zero below a PGV of 0.5 mm/s. British Standards BS 5228 (BSI, 2014) gives

- a lowermost threshold for cosmetic damage in unreinforced or light framed structures at 15 mm/s. The
- 233 damage function includes a pre-damage term Ψ_0 (Korswagen et al., 2019), which we sample from a 234 half-Gaussian distribution. Uncertainties in nuisance and damage functions were considered through
- perturbations of their input parameters in our Monte Carlo analysis.
- 236 Schultz et al. (2023) also computed a third risk metric, the local personal risk (LPR) that computes the
- 237 likelihood of suffering a fatality due to building collapse, which can provide a useful comparison with
- 238 other risks. However, they found that the tolerable levels for nuisance and cosmetic damage (CDI-2 –
- 239 CDI-4 and DS-1 DS-2) were reached at much lower magnitudes than the LPR threshold, and so LPR
- did not define acceptable magnitudes for induced seismicity. As such, we do not calculate LPR in this
- 241 study.
- The severity of risk, in terms of the numbers of households affected at a given nuisance or damage level, is then estimated by multiplying the impact likelihood by the number of households at the given grid point. Our populations (shown in Figure 2) are taken from the LandScan model (Rose et al., 2019) and we assume, based on UK census data, an average of 2.4 residents per household to estimate the numbers of households. The total numbers of households affected is then calculated by summing the
- 247 numbers from each grid point.
- 248 We adopt the criteria used by Schultz et al. (2023) to define the levels of tolerable impacts. Schultz et
- al. (2023) reached these criteria by examining the impacts from induced earthquakes in the UK.
 Specifically, they studied the Preese Hall (Clarke et al., 2014), Preston New Road PNR-1z (Clarke et al., 2014)
- al., 2019) and Preston New Road PNR-2 (Kettlety et al., 2021) hydraulic fracturing-induced sequences
- in Lancashire, and the Newdigate sequence in Surrey (Hicks et al., 2019), which were actually natural
- 253 earthquakes but nevertheless triggered a response from the regulator given their proximity to oilfield
- operations. Schultz et al. (2023) computed the expected impact from each event in terms of nuisance
- and damage using the modelling approach outlined above and assessed whether each of the events in
- 256 question were tolerated by nearby populations based on public and regulatory responses to each event.
- By doing so, they were able to identify tolerances for each nuisance and damage criterion that seemed
- to delimit acceptable versus unacceptable events.
- 259 From Schultz et al. (2023), the estimated tolerance of nuisance was reached when the median expected 260 numbers of affected households reached 9,571 for CDI-2, 5,478 for CDI-3, and 2,719 for CDI-4. The estimated tolerance of damage was reached when the median expected numbers of affected households 261 262 reached 0.1 for DS-1 and 0.0001 for DS-2. The values for DS-1 and DS-2 being below 1 imply a 1-in-263 10 and 1-in-10,000 chance of a household experiencing damage at these levels. The fact that the 264 Schultz et al. (2023) damage tolerances are less than 1 indicates that the public tolerance for induced 265 seismicity is such that earthquakes can be intolerable where damage, even of a cosmetic nature, is unlikely to occur - nuisance alone can be sufficient to render a given level of induced seismicity impact 266
- 267 intolerable in the eyes of the public.
- It is nevertheless of interest to examine magnitudes at which observed levels of damage (of a minor and cosmetic nature) might begin to be observed. We therefore also compute an additional tolerance, where the number of affected households exceeds 10 for DS-1. We refer to this tolerance as $[N(DS-1) \ge 10]$ hereafter. As well as being of interest to operators of CCS sites as a magnitude at which damage becomes likely (as opposed to the DS-1 and DS-2 criteria above, where the likelihood of
- damage becomes likely (as opposed to the DS-1 and DS-2 criteria above, where the likelihood of damage is still low, though not impossible), this threshold will also be relevant when comparing our
- tolerance estimates with the observations of damage (or the lack thereof) from past natural earthquakes
- 275 located in the seas around the UK (see Section 4.2).

276 2.2. Monte Carlo Sampling

We use a Monte Carlo approach to sample the uncertainties in each of our input parameters. Within each of our study areas (English Channel; East Irish Sea; southern North Sea; central North Sea;

- 279 northern North Sea; as shown in Figure 1), we sample potential induced earthquake source locations
- 280 on "source grids" of 0.025° Latitude $\times 0.05^{\circ}$ Longitude (roughly 2.5×2.5 km) across five regions of
- interest: English Channel, East Irish Sea, southern North Sea, central North Sea, and northern North
 Sea (Figure 1). For each potential source location, we simulate earthquakes across a range of
- magnitudes from $1.0 \le M \le 6.5$, at 0.1 unit increments. We set our upper limit at M 6.5 as this value
- has been used to represent M_{MAX} (i.e., the maximum possible earthquake magnitude) for induced
- seismicity in the UK by Mancini et al. (2021), who adopted this value as it lies at the lower end of the
- range of values estimated for tectonic earthquakes. In curtailing our hazard calculations at this limit,
- 287 we make no judgement as to where this value really does represent M_{MAX} for induced seismicity in the
- 288 UK, since there are sound reasons why tectonic M_{MAX} values should not be adopted uncritically for
- 289 induced seismicity cases (Bommer and Verdon, 2024).
- For each source position and magnitude, we perform 1,000 iterations with random perturbations to each input parameter. For each modelled earthquake, we compute the shaking and the resulting impacts
- across a "shake grid" with increments of $0.05^{\circ} \times 0.05^{\circ}$ (Lat/Lon) that covers the entirety of the United
- 293 Kingdom, plus Ireland and northern France (Figure 2).
- 294 CCS operations that seek to inject CO₂ as a supercritical fluid will target reservoirs that are deeper than 295 700 - 800 m, though some projects may inject CO₂ in the gas phase at shallower depths. Observations show that induced earthquakes often occur in the crystalline basement underlying injection targets in 296 297 sedimentary rocks (e.g., Verdon, 2014). We therefore sample earthquake depths across a uniform distribution from 1-5 km depth. Given the larger epicentral distances from the offshore CCS licenses 298 299 to the nearest population centres, earthquake depth has a minor impact on our results, in contrast to the shale gas sites considered by Schultz et al. (2023), many of which were very close to population 300 centres. The perturbations that we apply to each of the parameters that determine the ground motions 301 302 and resulting impacts are shown in Figure 3.



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Figure 3: Perturbations applied to input variables for our Monte Carlo analysis.



307 curves for four selected sites are plotted in Figure 5. We define M_{TOL} at that source point as the 308 magnitude at which the median number of impacted households exceeds the tolerance for the given 309 impact metric, as defined above.

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311 **3. RESULTS**

312 In Figure 4 we plot risk curves for five representative sites: Endurance (southern North Sea), Morecambe (East Irish Sea), English Channel, Goldeneye (central North Sea), and Tern (northern 313 North Sea). The locations of these sites are plotted in Figure 1. As might be anticipated, the resulting 314 315 outcomes are strongly dependent on the positions of these sites relative to the coast. The English Channel and Morecambe sites are both within 30 km of the coast, and near to relatively dense 316 population centres. As a result, the risk curves are higher. The Endurance site is approximately 65 km 317 318 from the shore, and the resulting curve is lower. The Goldeneye site is over 80 km from the shore, and 319 that shoreline is sparsely populated in northeast Scotland, while the Tern field is over 100 km from the 320 Shetland Islands, and over 350 km from the Scottish mainland. As a result, the risk curves for these 321 sites are significantly lower.

322 Comparing the risk curves in Figure 4 with those plotted in Schultz et al. (2023) (Figure 4 of that 323 paper), we see that our curves are generally steeper at lower magnitude values, with gradients 324 decreasing as magnitudes increase. In contrast, the curves generated by Schultz et al. (2023) are more linear across the M vs. log[No. of households] space. This reflects the more binary nature of impacts 325 for the offshore sites modelled in our study, where if the ground motions are not large enough to be 326 327 felt onshore, then obviously they will impact nobody, but if they are large enough to have impact 328 onshore then those impacts could be felt by large numbers of people all along the shoreline. This 329 contrasts with the situation for onshore activities, where the numbers of people impacted will increase 330 relatively linearly with distance from the site in question.

In Figures 5 – 7 we map M_{TOL} values for nuisance and damage for each of the 5 study areas. Specifically, we use the CDI-3, DS-1 and DS-2 tolerances defined by Schultz et al. (2023). The M_{TOL} values for CDI-2 and CDI-4 are very similar to those for CDI-3 (see Supplementary Materials). We find that M_{TOL} values for DS-1 are generally slightly higher than for CDI-3, typically by around 0.25 magnitude units, while the M_{TOL} values for DS-2 are significantly higher than for CDI-3, by around 1.5 magnitude units.

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Figure 4: Risk curves for five potential CCS sites around the UK, showing the numbers of 342 households expected to experience a given impact (CDI-2 to CDI-4 (a - c), DS-1 (d), and DS-2 (e)) 343 344 as a function of magnitude. Solid lines show median values from our Monte Carlo analysis, dashed lines show 5 % and 95 % upper and lower values. The curve colours correspond to the five positions 345 346 mapped as coloured stars in Figure 1: turquoise = English Channel; orange = Morecambe gas field, 347 *East Irish Sea; red = Endurance, southern North Sea, green = Goldeneye, central North Sea,* purple = Tern site, northern North Sea. Note that no DS-2 impacts are reached for the Tern site 348 349 within our magnitude range. Horizontal black dashed lines show risk tolerances defined by Schultz 350 et al. (2023). 351





Figure 5: Maps of M_{TOL} values based on nuisance impacts (CDI-3) for each of our 5 study areas:
northern North Sea (a), central North Sea (b), East Irish Sea (c), southern North Sea (d), and
English Channel (e). CCS license blocks are outlined in light blue, and the full study areas are
outlined in black.



Figure 6: Maps of M_{TOL} values based on damage impacts (DS-1) for each of our 5 study areas.
 Figure format as per Figure 5.



368 369

Figure 7: Maps of M_{TOL} values based on damage impacts (DS-2) for each of our 5 study areas.
Figure format as per Figure 5. M_{TOL} values are left blank where magnitudes of M 6.5 did not exceed
the risk tolerances.

Our criterion whereby cosmetic damage might be expected to be observed, $[N(DS-1) \ge 10]$, is shown in Figure 8. This tolerance is generally around 1 to 1.5 magnitude units higher than the CDI-3 nuisance tolerance.

377 **4. DISCUSSION**

378 **4.1** *M_{TOL}* as a function of distance to shore

Inspection of Figures 5 – 8 shows that the main control on M_{TOL} values is the distance from the 379 coastline. This is to be expected, because the distance from shore represents the minimum distance to 380 381 the nearest populations that could experience nuisance or damage, and therefore controls the ground 382 motions that are experienced for a given magnitude. To further investigate this, in Figure 9 we plot the 383 M_{TOL} values for nuisance and damage (CDI-3 and [$N(DS-1) \ge 10$]) for every grid point in our study areas, as a function of the distance to the nearest point on the coast. We find that the M_{TOL} values for 384 385 the southern North Sea, East Irish Sea and English Channel fall along very similar trends. The M_{TOL} values for the central and northern North Sea areas are substantially higher for a given distance to 386 387 shore, with the central North Sea typically being roughly 0.5 units higher at a given distance, and the 388 northern North Sea being roughly 1.0 units higher. This may reflect the fact that the shorelines facing 389 the central and northern North Sea areas are substantially less populated than those facing the East 390 Irish Sea, southern North Sea and English Channel.

391 For the northern North Sea, the nearest coastline is the Shetland Islands, which has a total population

of roughly 20,000 people. Since we assume 2.4 people per household, this amounts to only 8,333

393 households, so nearly every household would need to experience nuisance at CDI-3 to reach the

394 Schultz et al. (2023) tolerance. It might be argued that the Schultz et al. (2023) tolerances, calibrated

via experiences of induced seismicity in medium-sized English towns such as Blackpool and Crawley,
 will not be appropriate for a small and isolated community such as the Shetland Islands, leading to an

 $_{397}$ overestimation of M_{TOL} . Additionally, consideration of local risk metrics for nuisance (rather than only

398 aggregate) could be incorporated into future work, to ensure that an individual's chance of 399 experiencing nuisance is accommodated as well.

In Figures 9b and 9d, we show M_{TOL} as a function of the distance to the nearest population grid that has a population of at least 10,000 people. This normalises the behaviour between the different study areas to a degree. However, the M_{TOL} values for the central and northern North Sea areas are still slightly higher at a given distance. This may reflect the fact the V_{S30} values for northern Scotland are generally higher than England (Figure 2), resulting in lower amplification effects, and the fact that, while there are high density population centres in northeastern Scotland (most notably Aberdeen and Inverness), the general density of population is significantly lower.

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Figure 8: Maps of M_{TOL} values based on a tolerance where minor damage might be expected (N(DS-1) = 10), for each of our 5 study areas. Figure format as per Figure 5. M_{TOL} values are left blank where magnitudes of M 6.5 did not exceed the risk tolerances.



Figure 9: M_{TOL} as a function of distance. In (a) we show M_{TOL} values for nuisance (CDI-3) for each of our study areas as a function of distance from the nearest coastline. In (b) we show the same as a function of distance from the nearest densely populated area (with a population > 10,000). In (c) and (d) we show the same for M_{TOL} values for damage [N(DS-1) \geq 10].

425 **4.2** Comparisons with past UK earthquakes

426 We can use the observed macroseismic impacts of past earthquakes around the UK in order to sense-427 check the M_{TOL} thresholds estimated above. Specifically, we examine the observed macroseismic 428 impacts for past events and assess whether they are consistent with such events being tolerable if they 429 had been induced events. Clearly this is a subjective judgement, as we cannot know whether these 430 historical events, had they been induced by industrial activities, would have actually been tolerated or 431 not. However, it is reasonable to assume that events that were strongly felt across widespread areas 432 would be unlikely to be tolerable were they induced. Events that were mildly felt by small numbers of 433 people in localised areas would be more likely to be tolerable. Offshore events that were not felt 434 onshore would be tolerable. We compare the observed impacts with our M_{TOL} values for nuisance 435 (CDI-3), and with our M_{TOL} values for damage at $[N(DS-1) \ge 10]$.

436 It should be noted that the population densities at the time for historical events were significantly 437 lower, which could serve to reduce the overall impact relative to our models (which use modern 438 population densities), and that the following events are all natural earthquakes, most of which are 439 located at greater depths than would be expected for induced earthquakes.

440 An exact match between observed impacts and our risk estimates should not be expected, given the 441 above differences, as well as the inherent variability in earthquake ground motions and impacts. The

- risk curves plotted in Figure 4 give an indication of this variability: the risk curves at 5 % and 95 % are typically around 0.50 - 0.75 magnitude units above and below the median values. Nevertheless, the observed impacts from these events can provide a useful guide as to whether our M_{TOL} estimates are realistic. Figure 10 shows a map of historical and instrumentally recorded earthquakes around the UK, with the specific earthquakes discussed below highlighted. We examine each CCS license area in turn.
- 448



449Figure 10: Map of historically identified (orange circles) and instrumentally recorded (red circles)450 $earthquakes with M \ge 3.0$ across the southern (a) and northern (b) UK. Events are sized by

- 451 *magnitude. Earthquakes discussed specifically in Section 4.1 are outlined in pink and labelled.*
- 452

453 Southern North Sea

454 The largest earthquake in the UK for which a reliable magnitude has been estimated is the July 1931 455 M 6.1 Dogger Bank event in the southern North Sea (Versey, 1939). The estimated location for this 456 event is quite close to several CCS license blocks. The event was felt across the whole of the UK, as 457 well as parts of Ireland, northern France, Belgium, the Netherlands, Denmark and southern Norway. Minor damage occurred along the east coast of England (Musson, 2007), with cases of chimneys and 458 459 plaster being damaged. The most notable example of damage was to the spire of the Wesleyan Chapel 460 in Filey, which was rotated by 2 inches (Sargeant and Musson, 2009). However, in no case were the 461 impacts severe enough to be unequivocally judged to be at VII on the Medvedev-Sponheuer-Karnik 462 intensity scale (Neilson et al., 1986). Impacts of this nature would be unacceptable from an induced 463 event from the perspectives of nuisance and damage. Our M_{TOL} value for nuisance at the location of this event is approximately $M_{TOL} = 4.1$, and our M_{TOL} for damage ([N(DS-1) = 10]) is approximately 464 465 M 5.0. Hence, the fact that this event would likely not be tolerable in terms of nuisance as an induced event, and that it caused observable damage, is consistent with its magnitude exceeding both these 466 467 values.

The February 1958 M 5.1 event in the southern North Sea was smaller than the Dogger Bank event described above, but it was closer to the coast. This event was felt along the east English coast, as far

470 inland as Nottingham – including by members of the Royal Family at the Sandringham Estate in

471 northern Norfolk (BGS, 2010). However, there was probably no damage from this event (BGS, 2010).

472 Our M_{TOL} value for nuisance at the location of this event is approximately M 3.8, and M_{TOL} for damage 473 is approximately M 4.9, so our model expectation is that this event would likely not be tolerable from 474 a nuisance perspective, and it could have the potential cause damage. Hence, the fact that the observed 475 impacts from this event would be unlikely to be tolerable in terms of nuisance is consistent with our 476 model. The absence of recorded damage for this event is not consistent with our model estimate but, 477 given the expected variability in outcomes as described above, not to a significant degree.

478 In August 2015, an M 4.1 event occurred in the southern North Sea, located close to the southernmost 479 CCS license blocks in this area. A few people in Sheringham and Hickling (two small towns in north 480 Norfolk, about 30 km apart) reported feeling "a slight, but noticeable, vibration for a few seconds" (Galloway, 2016). The event was also felt by workers on the Shell Leman Alpha Complex, who 481 reported that they "felt the platform swaying and there was an audible bang" (Galloway, 2016). The 482 483 event was assigned a macroseismic intensity of III by the British Geological Survey. Given the small 484 number of felt reports, nuisance impacts from this event would likely be acceptable were they to come 485 from an induced event. However, given that people over 30 km apart reported feeling this event, then it could potentially have been felt by many more people. It therefore likely falls at the upper limit of 486 487 tolerability. Our M_{TOL} value for nuisance at the position of this event is M 3.9, and for damage is M 4.9. 488 Although our nuisance threshold is slightly lower than the magnitude of this event, we do not consider 489 this to be inconsistent given the inherent variability in earthquake ground motions. The absence of

- 490 damage from this event is consistent with the event magnitude being below our damage threshold.
- 491

492 English Channel

493 Two earthquakes located in the English Channel to the south of Portsmouth, towards the southeast of 494 the CCS license block in this area, are reported in the BGS historical database (BGS, 2010) - with 495 M 4.5 in October 1734 and with M 4.3 in March 1750. Given the historical nature of these earthquakes, 496 their position and magnitude should be treated as being highly uncertain. Both events were reported 497 as felt across the south coast, from Bridport in Dorset to Brighton, and as far inland as Bath and London 498 (BGS, 2010). No damage is reported in the BGS database associated with these events. However, 499 given the area across which these events were felt, the nuisance impacts would likely be intolerable for an induced event. These impacts are consistent with our M_{TOL} thresholds for the area in question – 500 M_{TOL} for nuisance is approximately M 3.8, while M_{TOL} for damage is approximately M 4.8. 501

- 502 In July 2011, an M 3.9 event was recorded in the English Channel, slightly to the southeast of the CCS
- 503 license block (Galloway, 2012). This event was felt along a 100 km stretch of the coastline between
- 504 Portsmouth and Eastbourne (Galloway, 2012). The BGS assigned a macroseismic intensity of III to
- 505 this event. The fact that this event falls above our M_{TOL} for nuisance but below our M_{TOL} for damage is
- 506 consistent with the fact that it was widely felt but did not cause any reported damage.
- 507
- 508 East Irish Sea

The largest historical event in the East Irish Sea was an M 5.1 event in March 1843. The BGS (2010) database reports that this event was felt throughout most of northern England, southern Scotland, northern Wales, and eastern Ireland. Along the northwestern coast of England, the event caused considerable alarm, but no damage (BGS, 2010). Minor amounts of damage were reported on the Isle of Man. Our M_{TOL} threshold for nuisance in this area is M 3.6, and our M_{TOL} for damage is approximately M 4.6. The impacts from this event are consistent with its magnitude being significantly

515 larger than the M_{TOL} values for nuisance, and slightly larger than that for damage.

A magnitude M 3.3 event occurred August 2013 in the East Irish Sea, approximately 25 km from the coast. Felt reports from this event came mostly along a short stretch of coast at the northern end of the

- 518 Fylde Peninsula, though single felt reports were also received from the Isle of Man, Anglesey and
- Liverpool (Galloway, 2014). The felt reports generally indicate fairly mild impacts, though the BGS
- assigned a macroseismic intensity of III (Galloway, 2014). The M_{TOL} thresholds for nuisance and
- damage in this area are 3.3 and 4.3, respectively. The impacts from this event are consistent with its magnitude being below our M_{TOL} for damage, and similar to our M_{TOL} for nuisance (given that the
- 523 event appears to have been somewhat widely felt, albeit not alarmingly so).
- 524

525 Central and Northern North Sea

- 526 Our M_{TOL} for damage in these regions generally exceeds the magnitudes of earthquakes that have 527 occurred in the central and northern North Sea, such that we do not expect to have seen damage from 528 such events. Across much of our central and northern North Sea study areas, our tolerance criteria for 529 damage were not reached at our assumed M_{MAX} value for induced seismicity ($M_{MAX} = 6.5$), and so no 530 M_{TOL} values have been estimated.
- 531 The 1927 M 5.7 event in the central North Sea was the second-largest British earthquake in the
- twentieth century (Musson, 2007), after the southern North Sea event described above. It was felt over
- 533 most of Scotland and along the eastern coast of England. However, it did not cause any reported 534 damage (Musson, 2007). The location of this event lies between our central and northern North Sea
- damage (Musson, 2007). The location of this event lies between our central and northern North Sea study areas. Interpolating between our calculations, the likely M_{TOL} threshold for nuisance in this area
- is between M 4.5 5.0. The nuisance impacts from this event are consistent with its magnitude being
- above our threshold for nuisance, while the absence of damage is consistent with this event being
- below our M_{TOL} value for damage (which, as above, has not been estimated as it would exceed M_{MAX}).
- 539 The March 2022 M 5.2 event in the northern North Sea lies just to the east of our northern study area.
- 540 It was felt in Norway, the Shetlands, and the northeast Scottish mainland, and assigned a macroseismic 541 intensity of IV by the BGS (Galloway, 2023). Our M_{TOL} for nuisance in this area around M 5.1, and
- 542 the felt impacts from this event are consistent with its magnitude being above our M_{TOL} for nuisance.
- 543 In June 2017 an M 4.7 event occurred just to the east of our central study area. It was felt in the 544 Shetlands, Orkneys, and in some places along the northeast Scottish mainland (Galloway, 2018).
- 545 Reports generally describe relatively mild impacts, such as a "rumbling noise", "like a heavy lorry"
- passing by, or rattling windows and doors (Galloway, 2018). Our M_{TOL} for nuisance in this area around
- 547 M 4.7, and the impacts from this event are consistent with its magnitude being similar to our M_{TOL} for 548 nuisance.
- 549

550 **4.3 Comparison with Castor**

- We also compare our M_{TOL} estimates with experience with one of the most notable examples of an offshore facility being negatively impacted by induced seismicity. In doing so, we note that our M_{TOL} estimates are based on ground motion models and fragility models that were not calibrated for the area in guestion and so an event metab between modelled and observed impacts should not be expected.
- in question, and so an exact match between modelled and observed impacts should not be expected.
- 555 As described in our introduction, the Castor gas storage site was located approximately 20 km from
- the eastern coast of Spain. It experienced three earthquakes with M 4.1 4.2 during its first weeks of
- operation (Ruiz Barajas et al., 2017). These events were felt by the public along the coastline. The
- resulting public concern led to significant protests such that injection was paused, and eventually the
- entire project was closed down. We are not aware of any reports of damage from the Castor seismicity.
- 560 From our relationships between M_{TOL} and the distance to the coast shown in Figure 9, for an activity
- that is located 20 km from the coast, we expect an M_{TOL} for nuisance of M 3.2, and an M_{TOL} for damage
- of M 4.1. Our M_{TOL} value for nuisance is consistent with what occurred at Castor since the events,

- which were significantly larger than our M_{TOL} , caused nuisance to the nearby population along the coast such that the project was eventually abandoned. The largest of the Castor events just equalled our M_{TOL} estimate for damage. Given the inherent variability in ground motions and seismic impacts,
- the absence of reported damage from the Castor events is consistent with our model outcomes.
- 567

568 4.4 M_{TOL} and induced seismicity management

The M_{TOL} values that we have estimated represent the threshold of seismicity that would become intolerable. The M_{TOL} values should inform induced seismicity management, but they do not represent thresholds at which operations should be adjusted in order to mitigate induced seismicity risk. If operations are not adjusted until after M_{TOL} is reached, then magnitude jumps and trailing events could produce levels of seismicity that significantly exceed M_{TOL} (Verdon and Bommer, 2021). Hence, actions to mitigate induced seismicity must be taken before induced event magnitudes reach M_{TOL} .

- 575 If a TLS is adopted to manage induced seismicity, then the red threshold, M_{RED} , must be set at a value
- lower than M_{TOL} . Statistical considerations of induced seismicity sequences (Schultz et al., 2020b) and observations of large numbers of sequences in practice (Verdon and Bommer, 2021; Watkins et al.,
- 578 2023) have indicated that TLS thresholds for long-term, low-pressure injection (such as CCS) should
- be set approximately 1.5 to 2 magnitude units below M_{TOL} . This gap is needed to ensure that jumps
- and trailing events as magnitudes approach M_{RED} due not exceed M_{TOL} .
- 581 For adaptive measures where magnitude forecasting models are used to manage induced seismicity, a 582 sufficient number of smaller events are required for model calibration. Many modelling strategies 583 require an estimate of the Gutenberg-Richter b-value, which in turn requires a bandwidth of several 584 units of magnitude to produce an accurate estimate (Roberts et al., 2015). Furthermore, modelled 585 increases in magnitude of 1 to 2 orders are commonly produced by such models (e.g., Schultz et al., 2022; Verdon et al., 2024; Verdon and Eisner, 2024). Monitoring systems must therefore be capable 586 587 of detecting earthquake magnitudes that are significantly lower than M_{TOL} , by as much as two or three 588 orders of magnitude, to enable the use of an ATLS strategy.
- 589 Given our estimates for M_{TOL} , it is of interest to examine whether the existing UK national seismic 590 monitoring network provides sufficient monitoring capability to manage induced seismicity at offshore 591 CCS sites. The detection capability of the UK's national seismic network is shown in Baptie (2021). 592 Approximate ranges of detection capability for the areas in which CCS licenses are held are as follows:
- 593 East Irish Sea: $1.5 \le M_D \le 2.0$; English Channel: $1.5 \le M_D \le 2.0$; southern North Sea: $2.0 \le M_D \le 2.5$;
- 594 central North Sea: $2.25 \le M_D \le 2.75$; northern North Sea: $2.5 \le M_D \le 3.0$. The M_{TOL} values for nuisance
- in these areas are: East Irish Sea: $3.0 \le M_{TOL} \le 3.5$; English Channel: $3.25 \le M_{TOL} \le 3.75$; southern
- 596 North Sea: $3.25 \le M_{TOL} \le 4.25$; central North Sea: $4.25 \le M_{TOL} \le 4.75$; northern North Sea: 597 $4.75 \le M_D \le 5.25$.
- Hence, for the central and northern North Sea, the detection thresholds are 2 or more magnitude units
- lower than M_{TOL} , implying that sufficient earthquake detection capability is available to provide useful observations to manage induced seismicity risk. However, for the southern North Sea, East Irish Sea
- and English Channel, the difference between M_D and M_{TOL} is roughly 1.25 to 1.5 magnitude units. As
- such, modest improvements to existing monitoring capabilities for these areas may be warranted to
- adequately manage the risks posed by induced seismicity.
- 604 Improvements in monitoring capabilities may be required to reduce location uncertainties without
- accurate locations it may be difficult to reliably distinguish induced events from natural earthquakes.
- 606 Furthermore, in addition to managing the risks posed by large induced seismic events, operators may
- 607 wish to install improved passive seismic monitoring capabilities around their CCS sites for other

purposes, such as to understand the geomechanical response of the reservoir to CO₂ injection and todetect fracturing in the caprock, (e.g., Verdon et al., 2011, 2013; Stork et al., 2015).

610

611 **5. CONCLUSIONS**

The risks of induced seismicity during injection operations are typically managed by observing events and adjusting activities accordingly. Decisions can be made via a TLS, or by using forecasting models that estimate upcoming magnitudes. In either case, decisions should be made based on an understanding of what earthquake magnitude will be tolerable to nearby populations. This tolerability can be defined based on the likelihood of experiencing nuisance or of experiencing damage.

- 617 In this study we have calculated tolerability thresholds for the CCS operations that are expected to 618 commence in the seas around the UK in the coming decade. To do so, we adapted the method that was 619 developed by Schultz et al. (2023) for application to UK shale gas operations. Ground motions were 620 estimated over grids of given magnitude at a given location. For a specific level of ground motion, the 621 likelihood of experiencing nuisance or damage was estimated from fragility functions. The numbers 622 of affected households affected by a given impact were then estimated based on the population at a 623 given point. The thresholds for tolerability were defined based on past observations of induced event 624 impacts in the UK, and the tolerance, or lack of thereof, for these events as evidenced by the public
- 625 and regulatory responses.
- 626 Our primary results, the thresholds for tolerability mapped in Figures 5-8, can be used by the holders 627 of CCS license blocks around the UK (and the regulators thereof) as a key input to the design of 628 induced seismicity mitigation strategies for their upcoming projects.
- We found that the first order control on M_{TOL} is the distance from the coast. The population density 629 630 along the coast and V_{S30} values also play a relatively smaller (but still important) role. As such, the 631 M_{TOL} values for future offshore CCS sites will depend on their location. Sites near to a densely 632 populated coast, such as in the East Irish Sea, English Channel, and southern North Sea, may exceed 633 the threshold for tolerance to nuisance at magnitudes as low as M 3.0. Sites in the central and northern 634 North Sea will have significantly higher thresholds for tolerability. We sense-checked our M_{TOL} estimates against macroseismic impacts observed for past historical earthquakes occurring in the seas 635 636 around the UK, finding that our thresholds for nuisance and damage are consistent with the magnitudes 637 of past earthquakes that have been widely felt and/or caused damage.
- 638 To manage induced seismicity risks, earthquake monitoring systems must be able to detect magnitudes 639 significantly lower than M_{TOL} . By detecting smaller events, an operator can act to mitigate induced 640 seismicity, guided either by a TLS or by statistics-based forecasting models that are calibrated with 641 smaller events. In the central and northern North Sea, earthquake detection capabilities with the 642 existing BGS national seismic network are more than two orders of magnitude smaller than M_{TOL} . However, in the East Irish Sea, English Channel, and southern North Sea, where M_{TOL} values are 643 smaller, the detection capability is in some places within 1.25 magnitude units of M_{TOL} . In these areas, 644 645 modest improvements in monitoring capabilities may be required to adequately manage induced 646 seismicity risks as the CCS industry develops.
- 647

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